



# Client Investment Profile of Discretionary Account

## 委託賬戶之客戶投資取向

To: Celestial Securities Limited  
致：時富證券有限公司

This form is only valid when accompanied with a signed Power of Attorney for the Discretionary Account application.  
此表格必須與申請委託賬戶之授權書同時繳交方為有效。

### 1. Investment Objectives 投資目標\*

- Growth/Aggressive 增長/進取** Maximum growth of assets with a tolerance for a correspondingly higher degree of volatility. Capital appreciation is the major objective.  
最高資產增長，可承受相對較高之波幅。主要目標為資產增值。
- Balance/Moderate 平衡/穩健** An investment mix primarily seeking moderate growth with a tolerance for some fluctuation in value along with some opportunity to maximize returns with the likelihood of a higher fluctuation in asset value.  
主要尋求穩健增長之投資組合，可承受若干價值波動及達致最高回報之若干機會，資產值波動或會較高。
- Conservative 保守** Moderate growth of assets and income with lower than average risk and fluctuation in value. Stability of principal and cash flow is the major objective.  
穩健資產及收入增長，風險及價值波動性較平均為低。主要目標為保本及現金流量穩定。

### 2. Risk Tolerances 風險承受程度\*

- High 高** Note: The degree/level of risk refers to the risk profile of your portfolio taken as a whole. Individual investments within the portfolio may, on occasion, carry a higher or lower level of risk provided the overall risk profile is maintained.
- Medium 中** 附註：風險程度/水平指閣下投資組合之整體風險剖析。於若干情況下，假設整體風險性質得以維持，投資組合內之個別投資可能具有較高或較低風險水平。
- Low 低**

### 3. Other Investment Instructions or Trading Restrictions 其他投資指示或買賣限制\*

Trading restriction(s) may be in terms of types of securities (e.g. warrants, securities of certain categories, Growth Enterprise Market stocks or stocks of related company etc). If no trading restriction(s) is/are specified, the Attorney may recommend to you or deal for you in any investments or transactions according to the above which the Attorney believes to be suitable for you.

買賣限制可以是指證券之類別（如認股証、某些組別的股票、創業版股票或有關連的公司股票等）。倘並無指明買賣限制，授權代表或會建議閣下或根據以上為閣下進行任何授權代表相信適合閣下之投資或交易。

- Yes, and specify below:** 有，並列明如下： \_\_\_\_\_  **No** 無

\*tick one 以✓號選擇一項

Client Signature(s) 客戶簽署	<input checked="" type="checkbox"/> Please use the signature(s) field with our company 請用留存本公司之印鑑式樣	Account No. 戶口號碼	
Client Name 客戶名稱		Date 日期	day 日 / month 月 / year 年
AE Signature 營業代表簽署		AE Code 營業代表編號	
AE Name 營業代表名稱		Date 日期	day 日 / month 月 / year 年